PATENT APPLICATION

Docket Number: 040258-0306939 Client Reference: EL01006CDC-DIV4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

TADAHIRO OHMI, et al.

Group Art Unit: 2818

Application No.: 10/721,473

Examiner: David Vu

Filed: November 26, 2003

Confirmation No.: 8137

For: FLASH MEMORY DEVICE AND A FABRICATION PROCESS THEREOF, METHOD

OF FORMING A DIELECTRIC FILM

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Issue Fee Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. The references listed on the attached PTO-1449 were cited by the Examiner in co-pending Application Serial No. 10/721,531 on May 7, 2004, which is the mailing date of the Notice of Allowance for that application.

I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any

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individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(2).

Respectfully Submitted,

Jeffrey D. Karceski

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Date: July 2, 2004

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